

**REPLY UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP ART UNIT 3695**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)	Confirmation No.: 5428
)	
)	Group Art Unit: 3695
)	
Applicants: WEBER et al.)	Examiner: Gregory A. Pollock
)	
Application No.: 10/753,069)	AMENDMENT and RESPONSE to
)	September 13, 2011 Final Office Action
Filing Date: 1/8/2004)	
)	Docket No.: N09.005
For: SYSTEMS AND METHODS FOR)	
TRADING ACTIVELY MANAGED)	PTO Customer Number 15462
FUNDS)	NYSE c/o
)	Buckley, Maschoff & Talwalkar LLC
)	50 Locust Avenue
)	New Canaan, CT 06840

Mail Stop AF (via EFS)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed September 13, 2011, please amend the above-identified application as follows:

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.